

Goal: To get a firm grip on the basics of smooth manifold theory.

Main Topics: Transversality, Lie groups, de Rham cohomology / Hodge theory

We will focus on Lie groups + de Rham cohomology.

Warning: This course will be fast paced and you are expected to keep up. Despite the course number, this is certainly "first-year" stuff.

Book(s):

- 1) Intro to Smooth Manifolds - Lee
- 2) Differential Topology - Guillemin + Pollack
- 3) Differential Geometry - Spivak
- 4) Foundations of Differentiable Manifolds + Lie Groups - Warner.

## Topological Preliminaries

Def<sup>n</sup> A topological space  $X$  is called paracompact if every open cover of  $X$  has a locally finite refinement.

Def<sup>n</sup>  $X$  is

- 1)  $2^{\text{nd}}$  countable  $\Rightarrow$  countable basis for the topology of  $X$
- 2) Hausdorff  $\Rightarrow$  points can be separated by open sets
- 3) Regular  $\Rightarrow$  pts can be separated from closed sets by open sets
- 4) Normal  $\Rightarrow$  Closed sets can be separated by open sets.

Although we don't need all of these, the following implications are true.

Theorem:

- 1) Regular +  $2^{\text{nd}}$  countable  $\Rightarrow$  metrizable
- 2) Metric space  $\Rightarrow$  Paracompact (Stone's Theorem)
- 3) Regular +  $2^{\text{nd}}$  countable  $\Rightarrow$  Normal

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4) Paracompact + Locally Compact + Connected  $\Rightarrow$   $2^{\aleph_0}$  countable.

5) Paracompact  $\Rightarrow$  Normal

6) If  $X$  is Hausdorff,  $\{\mathcal{U}_n\}_{n=1}^{\infty}$  an open cover of  $X$  st

1)  $\overline{\mathcal{U}_n} \subset \mathcal{U}_{n+1}$

2)  $\overline{\mathcal{U}_n}$  compact

Then  $X$  is Paracompact.

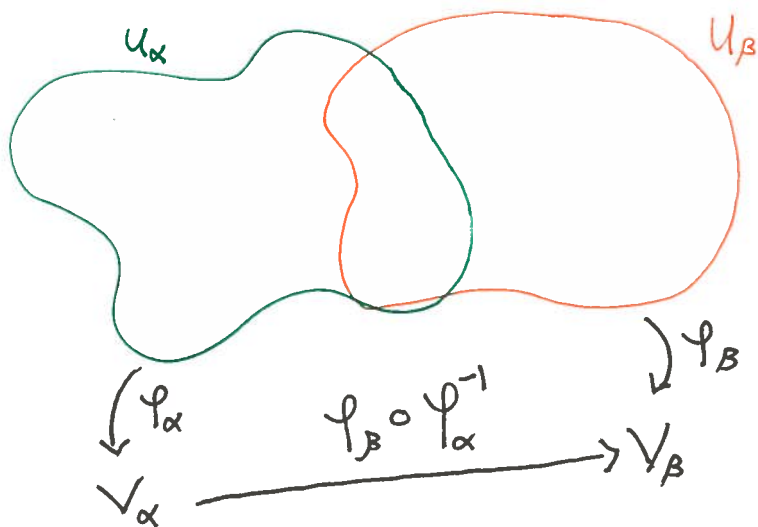
Def<sup>n</sup> A manifold is a paracompact topological space  $M$  such that each point in  $M$  has a neighborhood homeomorphic to an open subset  $\mathcal{U} \subset \mathbb{R}^n$

• A  $C^k$ -Atlas for a manifold  $M$  is a collection  $\{(\mathcal{U}_\alpha, \varphi_\alpha)\}$  of pairs st

-  $\{\mathcal{U}_\alpha\}$  is an open cover of  $M$ ,  $\cup \mathcal{U}_\alpha = M$

-  $\{\varphi_\alpha\}$  are charts,  $\varphi_\alpha: \mathcal{U}_\alpha \rightarrow V_\alpha \subset \mathbb{R}^n$

Further, if  $\mathcal{U}_\alpha \cap \mathcal{U}_\beta \neq \emptyset$ , then



$\varphi_\beta \circ \varphi_\alpha^{-1}: \varphi_\alpha(\mathcal{U}_\alpha \cap \mathcal{U}_\beta) \rightarrow \varphi_\beta(\mathcal{U}_\alpha \cap \mathcal{U}_\beta)$   
is a  $C^k$ -diffeomorphism.

(3)

We call the compositions " $\varphi_\alpha \circ \varphi_\beta^{-1}$ " transition functions.

If the transition functions are of class  $C^\infty$ , we simply call  $M$  a smooth manifold.

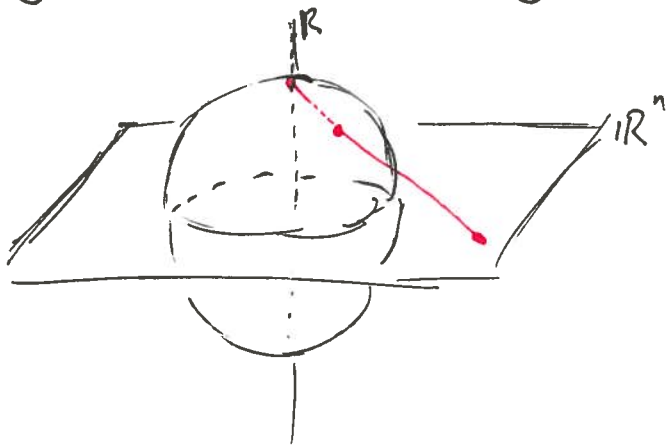
Def<sup>n</sup> A  $C^k$ -atlas is called maximal if it is not contained in any larger  $C^k$ -atlas.

heorem: Let  $M$  be a manifold with a maximal  $C^k$ -atlas  $\{(U_\alpha, \varphi_\alpha)\}$ . Then  $\exists$  a sub-atlas of class  $C^\infty$  or  $C^\omega$ .

examples:

1)  $\mathbb{R}^n$  with atlas  $\{(\mathbb{R}^n, \text{Id})\}$

2)  $S^n$  with charts given by Stereographic projection.



$$\varphi_N : S^n - \{N\} \rightarrow \mathbb{R}^n$$

$$(x_1, \dots, x_{n+1}) \mapsto \left( \frac{x_1}{1-x_{n+1}}, \dots, \frac{x_n}{1-x_{n+1}} \right)$$

$$\varphi_N^{-1} : \mathbb{R}^n \rightarrow S^n - \{N\}$$

$$(x_1, \dots, x_n) \mapsto \frac{1}{1+\|x\|^2} (2x_1, \dots, 2x_n, \|x\|^2 - 1)$$

$$\varphi_S : S^n - \{S\} \rightarrow \mathbb{R}^n$$

$$(x_1, \dots, x_{n+1}) \mapsto \left( \frac{x_1}{1+x_{n+1}}, \dots, \frac{x_n}{1+x_{n+1}} \right)$$

$$\varphi_S^{-1} : \mathbb{R}^n \rightarrow S^n - \{S\}$$

$$(x_1, \dots, x_n) \mapsto \frac{1}{1+\|x\|^2} (2x_1, \dots, 2x_n, 1-\|x\|^2)$$

Thus,

$$\varphi_N \circ \varphi_S^{-1} : \mathbb{R}^n - \{0\} \rightarrow \mathbb{R}^n - \{0\}$$

$$(x_1, \dots, x_n) \mapsto \frac{1}{\|x\|^2} (x_1, \dots, x_n)$$

similarly

$$\varphi_S \circ \varphi_N^{-1} : \mathbb{R}^n - \{0\} \rightarrow \mathbb{R}^n - \{0\}$$

$$(x_1, \dots, x_n) \mapsto \frac{1}{\|x\|^2} (x_1, \dots, x_n)$$

3) Any open subset of a smooth manifold is itself a smooth manifold

4)  $T^2$ , the 2-torus  $\mathbb{R}^2 / \mathbb{Z}^2$  (What do the transition maps look like?)

5) A genus  $g$  surface  $\Sigma_g = \mathbb{H}^2 / \Gamma$ . ↙ Not the same  $\mathbb{H}$

6) Projective spaces:  $\mathbb{R}P^n, \mathbb{C}P^n, \mathbb{H}P^n$

↗

Equivalence classes of vectors in  $\mathbb{R}^{n+1} - \{0\}$ ,  $\mathbb{C}^{n+1} - \{0\}$ ,  $\mathbb{H}^{n+1} - \{0\}$  up to scalar mult.

Our next example is very important in geometry and topology.

7)  $G_k(V)$ , the Grassman manifold of  $k$ -planes in  $V$

Note: In the literature, people frequently do not specify whether or not the  $k$ -planes are oriented or not. It matters. Since we do not yet understand orientations, we delay the discussion and assume our planes are unoriented.

Proof: Let  $P, Q$  be subspaces of  $V$  of complementary dimension  $k, (n-k)$  st  $V = P \oplus Q$ .

• If  $A: P \rightarrow Q$  is linear, then the graph

$$\Gamma(A) = \{x + Ax \mid x \in P\}$$

is a subspace of  $V$  with  $\Gamma(A) \cap Q = \{0\}$ .

• Similarly, any subspace  $\Gamma$  of  $\dim = k$ , with  $\Gamma \cap Q = \{0\}$  is  $\Gamma(A)$  for some  $A: P \rightarrow Q$ .

Let  $\bullet L(P, Q)$  denote the space of linear maps  $P \rightarrow Q$ .

•  $\mathcal{U}_Q =$  subset of  $G_k(V)$  of subspaces having trivial intersection with  $Q$ .

(5)

From the discussion, we have that

$$\Psi: L(P, Q) \rightarrow \mathcal{U}_Q$$

$$A \mapsto \Gamma(A)$$

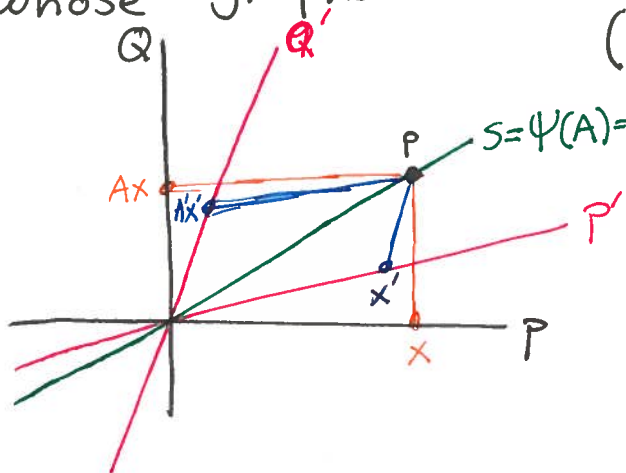
is a bijection.

Denote its inverse by  $\Psi: \mathcal{U}_Q \rightarrow L(P, Q) = \mathcal{M}((n-k) \times k, \mathbb{R})$  and declare this to be a coord chart.   
 $\mathbb{R}^{(n-k) \times k}$   
//  
 $\mathbb{R}$   
choose a basis for  $P+Q$

Let  $\mathcal{U}_{Q'}$  denote an alternate such chart corresponding to the pair  $(P', Q')$ .

Then  $\Psi(\mathcal{U}_Q \cap \mathcal{U}_{Q'}) \subset L(P, Q)$  is the set of all  $A \in L(P, Q)$  whose graphs intersect  $Q'$  trivially.

(Note, this is an open condition).



Now, we simply need to show that the transition maps are smooth.

Let  $A \in \Psi(\mathcal{U}_Q \cap \mathcal{U}_{Q'}) \subset L(P, Q)$  be given, and let  $S$  denote  $\Psi(A) = \Gamma(A) \subset V$ .

Let  $A' = \Psi' \circ \Psi(A)$ . By def<sup>n</sup>,  $A'$  is the map  $A': P' \rightarrow Q'$  whose graph is  $S$ .

If  $p \in S$ , then

$$p = x + Ax \quad \text{for a! } x \in P,$$

$$p = x' + A'x' \quad \text{for a! } x' \in P'.$$

Let  $I_A: P \rightarrow V$  be the map  $x \mapsto x + Ax$ ,  
 $\pi_{P'}: V \rightarrow P'$  the quotient w/ kernel  $Q'$ .

(6) The composition is an isomorphism (since  $S \cap Q = S \cap Q' = \emptyset$ )  
 observe:

$$x + Ax - x' = A'x' \in Q'$$

Thus,

$$\begin{aligned} 0 &= \pi_{P'}(x + Ax - x') \\ &= (\pi_{P'} \circ I_A)(x) - x' \end{aligned}$$

In other words,

$$x' = (\pi_{P'} \circ I_A)(x)$$

and

$$A'x' = I_A x - x' = I_A \circ (\pi_{P'} \circ I_A)^{-1}(x) - x'$$

since  $I_A, (\pi_{P'} \circ I_A)^{-1}$  depend smoothly on the entries of  $A$ , so too must the entries of  $A'$ .

Exercise: Check the  $\mathbb{Z}^{\text{nd}}$  countability + Hausdorff conditions.  $\square$

To obtain a wealth of examples using tools we don't quite yet understand, I simply state

Theorem: Let  $M$  be a manifold and  $G$  a (Lie) group which acts smoothly, freely and properly on  $M$ , then the quotient space  $M/G$  is a manifold admitting a canonical smooth structure.

Note: This is one way to see that the projective spaces  $\mathbb{R}P^n, \mathbb{C}P^n, \mathbb{H}P^n$  are manifolds.

$$\mathbb{R}P^n = S^{n+1} / \mathbb{Z}_2 = \mathbb{R}^{n+1} - \{0\} / \mathbb{R}^*$$

$$\mathbb{C}P^n = S^{2n+1} / S^1 = \mathbb{C}^{n+1} - \{0\} / \mathbb{C}^*$$

$$\mathbb{H}P^n = \mathbb{H}^{n+1} - \{0\} / \mathbb{H}^*$$